

**AMENDMENT No. 1  
to the  
CONTRACT BETWEEN**

**STATE OF ALASKA  
ALASKA STATE LEGISLATURE  
LEGISLATIVE BUDGET & AUDIT COMMITTEE  
120 4<sup>th</sup> Street, State Capitol, Room 3  
Juneau, AK 99801**

**AND**

**CASTLE GAP ADVISORS, LLC  
6634 Wanita Place  
Houston, TX 77007**

**\*\*Amended Contract Amount – Not to Exceed \$100,000\*\*  
(amount includes reasonable travel expenses)**

The parties to this Amendment No. 1, are the State of Alaska, Alaska State Legislature, Legislative Budget & Audit Committee, whose address is 120 4<sup>th</sup> Street, State Capitol, Room 3, Juneau, AK 99801-1182, (hereinafter referred to as the "Committee"), and Castle Gap Advisors, LLC, whose address is 6634 Wanita Place, Houston, TX 77007 (hereinafter referred to as the "Consultant.")

THE PURPOSE OF THIS AMENDMENT NO. 1 is to amend the terms of the original contract between the Consultant and the Committee signed by the Committee Chair February 8, 2017. This Amendment No. 1 increases the maximum total dollar amount for payments under the contract.

**IT IS THEREFORE MUTUALLY AGREED THAT:**

**CLAUSE III – COMPENSATION sections (A) and (F) are modified to read**

- (A) The Consultant shall perform the work specified in this Contract, including providing all labor, materials, and equipment required to complete the work, for a total contract price that is calculated under this Clause and that may not exceed \$100,000.00.
- (F) Total payments under this Contract, including reimbursement payments under (E) of this Clause III (Compensation) may not exceed \$100,000.00.

**CLAUSE V – ASSIGNMENT OR TRANSFER is modified to read**

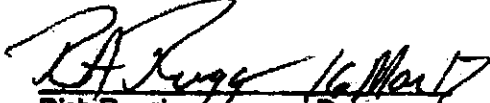
Assignment or transfer of the Contract entered into is subject to Sec. 160 of the Procurement Procedures of the Alaska State Legislature. The Consultant may subcontract work under this Contract, with written prior approval of the Project Director.

**CLAUSE XVI – CERTIFICATION AND AUTHORIZATION is modified to read**

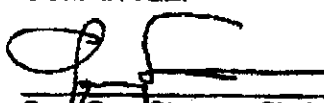
- (A) The execution of this Amendment No. 1 was authorized by a majority of the members of the Legislative Budget & Audit Committee March 10, 2017. The sec. 040 exemption justification is attached as Exhibit A to this Contract.
- (B) Execution of this Amendment No. 1 by the Legislative Auditor hereby constitutes a certification that funds are available in an appropriation to pay for work performed under this Contract through June 30, 2017.

IN WITNESS WHEREOF, the parties have executed this Contract on the dates indicated below:

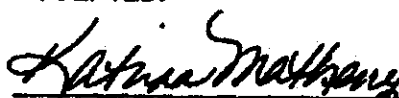
**CONSULTANT:**

  
Rich Ruggiers                      Date  
Managing Partner  
Castle Gap Advisors, LLC  
6634 Wanita Place  
Houston, TX 77007  
Alaska Business License #1026302

**COMMITTEE:**

 3/20/17  
Sen. Gary Stevens, Chair                      Date  
Legislative Budget & Audit Committee  
Procurement Officer

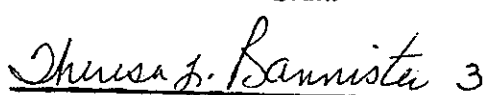
**ACCEPTED:**

 3/13/17  
Katrina Matheny                      Date  
Project Director

**CERTIFYING AUTHORITY:**

 3/21/17  
Kris Curtis                      Date  
Legislative Auditor

**APPROVED AS TO FORM:**

 3-20-17  
Theresa P. Bannister                      Date  
Legal Counsel

**EXHIBIT A – EXEMPTION JUSTIFICATION FOR  
AMENDMENT NO. 1**

to the

**CONTRACT BETWEEN**


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ALASKA STATE LEGISLATURE  
LEGISLATIVE BUDGET & AUDIT COMMITTEE  
120 4th Street, State Capitol, Room 3  
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**AND**

**CASTLE GAP ADVISORS, LLC  
6634 Wanita Place  
Houston, TX 77007**

**Written Justification for Exemption from  
Solicitation Requirements of Sections .030 and .145 of the  
Alaska Legislative Procurement Procedures**

The amended contract with Castle Gap Advisors, LLC qualifies for exemption from the solicitation requirements of the Alaska Legislative Procurement Procedures in accordance with Section .040(a) because it is not practicable to award this amended contract by a competitive method and this amended contract is in the Legislative Budget and Audit Committee's best interest. Castle Gap Advisors, LLC is uniquely capable of providing services due to its immediate availability to provide sufficient and appropriate corporate resources and its particular professional knowledge and skills with regard to Alaska's oil and gas fiscal system. It is necessary to act immediately to secure the Consultant's services and assure the availability of these professional resources which are essential to the responsible conduct of the Legislature's deliberative process.



\_\_\_\_\_  
Senator Gary Stevens, Procurement Officer  
Chair, Legislative Budget and Audit Committee

3/20/17

\_\_\_\_\_  
Date